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Attorney Docket No. 1010722-991102

CERTIFICATE OF MAILING VIA FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231, on May 16, 2001

Christopher A. Rygaard

Yvette Renee Killingham

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christopher A. Rygaard

Serial No: 09/758,941

Art Unit: Not assigned

Filed: January 10, 2001

Examiner: Not assigned

Title: **MOBILE APPLICATION SECURITY SYSTEM AND METHOD**

REQUEST TO CORRECT FILING RECEIPT

Commissioner of Patents
Washington, DC 20231

Sir:

Attorney for Applicant hereby requests a correction of an element of the Filing Receipt in the above referenced U.S. Patent Application. **The current Filing Receipt does not list the correct Attorney Docket Number, which should read as follows: 1010722-991102.** Attorney for Applicant respectfully requests that this error be corrected. A corrected Filing Receipt is attached hereto for your reference.

Respectfully submitted,

Timothy W. Lohse
Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

Dated: May 16, 2001

GRAY CARY WARE & FREIDENRICH LLP
1755 Embarcadero Road
Palo Alto, CA 94303-3340
Telephone: (650) 320-7400



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/758,941 | 01/10/2001 | Chris Rygaard | 1010722-991101 |

CONFIRMATION NO. 9601

FORMALITIES LETTER



0C000000005800958

Timothy W. Lohse
GRAY CARY WARE & FREIDENRICH LLP
3340 Hillview Avenue
Palo Alto, CA 94304

Date Mailed: 02/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

5/29/2001 AGOITOM 00000129 09758941

1 FC:202
2 FC:299

120.00 OP
120.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/23/2001 AGOITOM 00000059 09758941

01 FC:201
02 FC:205
03 FC:202

355.00 OP
65.00 OP
240.00 OP

Adjustment date: 05/29/2001 AGOITOM 00000059 09758941
05/23/2001 AGOITOM 00000059 09758941
-240.00 OP

Jencks

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. 1010722.991102

CERTIFICATE OF MAILING VIA FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 16, 2001

Yvette Renée Killingham
Yvette Renée Killingham

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher A. Rygaard

Serial No: 09/758,941 Group Art Unit: Not assigned

Filed: January 10, 2001 Examiner: Not assigned

Title: **MOBILE APPLICATION SECURITY SYSTEM AND METHOD**

* * *

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF UTILITY PATENT APPLICATION**

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Utility Patent Application" mailed by the United States Patent and Trademark Office on February 26, 2001. The following documents are enclosed to complete the filing of the above-referenced patent application:

1. Missing Parts Transmittal (2 pgs)
2. Petition for Extension of Time (30-day) (2 pgs)
3. Check # 477534 in the amount of \$55.00 – Filing Fee
4. Copy of Notice to File Missing Parts (2 pgs)
5. Verified Statement Claiming Small Entity Status (3 pgs)
6. Executed Declaration and Power of Attorney (3 pgs)
7. 17 Sheets of Formal Drawings
8. Request to Correct Filing Receipt (1 pg)
9. Fee Transmittal (1 pg)
10. Check #476843 in the amount of \$725.00
\$355.00 - Filing fee – small entity



Attorney Docket No. 1010722.991102

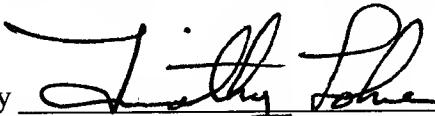
\$240.00 - 3 independent claims over 3; and
\$130.00 - late filing fee.

11. Return postcard

Check #476843 in the amount of \$725.00 is enclosed. The Commissioner is also authorized to charge any deficiencies in fees and credit any overpayment of fees to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

By 
Timothy W. Lohse
Reg. No. 35,255
Attorney for Applicant

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1755 Embarcadero Road
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Telephone: (650) 320-7400

Gray Cary\EM\7075439.1
1010722-991102

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OIPE FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 725.00)

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | 09/758,941 |
| Filing Date | January 10, 2001 |
| First Named Inventor | Christopher A. Rygaard |
| Examiner Name | Not Assigned |
| Group Art Unit | Not Assigned |
| Attorney Docket No. | 1010722-991102 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-1896**

Deposit Account Name

 Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272. Payment Enclosed: Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 355 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$) | | 355.00 | |

2. EXTRA CLAIM FEES

| Total Claims | -20- | -0- | -0- | -0- | -0- |
|--------------------|------|-----|-----|-----|-----|
| Independent Claims | -6- | -3- | -3- | 40 | 120 |

Multiple Dependent X =

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | (\$) | | 240.00 | |

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|----------|-----------------------|----------|--|-------------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | 5.00 |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 11 | 0240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive – unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | SUBTOTAL (3) | (\$) 78.00 |

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Timothy W. Lohse

Registration No.
(Attorney/Agent)

35,255

Telephone

650-833-2000

Signature

Date

5/16/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.